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EMBLEMHEALTH

HIPAA Transaction Standard Companion Guide

**Refers to the X12N Implementation Guide 005010X224A2:
837D – Health Care Claim Dental Transaction**

HIPAA Readiness Disclosure Statement

The Health Insurance Portability and Accountability Act (HIPAA) was signed into federal law on August 21, 1996. HIPAA mandates standards for electronic data interchange (EDI) transactions and code sets, and establishes uniform health care identifiers for providers, health plans and employers. EmblemHealth has been following the evolution of the Administrative Simplification provisions of HIPAA since its inception in 1996. Our goal is to ensure our systems, supporting business processes, policies and procedures successfully meet the standards and implementation deadlines and standards mandated by the United States Department of Health and Human Services (DHHS).

To achieve this goal, we have or are in the process of accomplishing the following:

- Formed an Executive HIPAA Steering Committee
- Established a HIPAA Program Management Office
- Completed an impact assessment on business processes and systems
- Developing and implementing HIPAA education and awareness programs
- Identifying specific remediation projects necessary to mitigate diminish actual or potential exposures
- Assessing the impact the HIPAA requirements may have on our products programs and services
- Evaluating our business processes and best practices to realize the benefits of Administrative Simplification

Compliance with HIPAA requires the use of ANSI ASC X12N (Version 5010) transaction standards and implementation guides. The rules for transactions and code sets (Version 5010) are scheduled to go into effect January 1, 2012. EmblemHealth will be compliant by January 1, 2012.

The rules and regulations adopted under HIPAA apply to “covered entities“(health plans, health care clearinghouses, and health care providers) that transmit health care data electronically in transactions covered under HIPAA. All covered entities must comply with the standards adopted by HIPAA by the January 1, 2012, compliance date.

Preface

This Companion Guide to the *ASC X12N Implementation Guides and associated errata* adopted under HIPAA specifies the data content for electronically exchanging information with EmblemHealth. Transmissions based on this Companion Guide, used in tandem with the v5010 ASC X12N Implementation Guides, are compliant with both ASC X12 syntax and those guides.

This *Companion Guide* is intended to provide information within the framework of the *ASC X12N Implementation Guides* adopted for use under HIPAA. The Companion Guide is not intended to convey information that in any way exceeds the requirements or use of data expressed in the *Implementation Guides*.

Testing 5010 Transactions with EmblemHealth

To assist our trading partners with the transition from version 4010 A1 to version 5010 errata, we will provide a web-based testing environment where our trading partners can self-test transactions against EmblemHealth's standards.

The objective is to enable each of our trading partners to test their files against EmblemHealth guidelines and HIPAA transaction standards edits. We intend to streamline the process by using this Web site to enable you to test online directly against our companion guide edits and business requirements.

The testing environment is a Web-based application with a self-service portal allowing our trading partners to sign in and participate in tasks designed to assist in the validation of 5010 errata test files.

EmblemHealth-Specific Information for Electronic Transaction

The table on the following identifies EmblemHealth-specific requirements for 837D 5010A1 implementation using available data contained in the implementation guide. The table defines specific segments/loops by:

- Field size limitation
- Data element code limitation
- Entry of specific field data
- Mandatory use of a situational segment

Please note that:

- EmblemHealth can only accept a single ISA/IEA (Interchange Control Header/Interchange Control Trailer).
- For each ISA/IEA interchange, EmblemHealth can only accept one GS/GE (Functional Group Header/Functional Group Trailer).
- Within the GS/GE Group, a submitter can submit either a single or multiple ST/SE (Transaction Set Header/Transaction Set Trailer).
- For files submitted with either a single ST/SE set or multiple ST/SE sets, EmblemHealth recommends limiting the total number of claims within each ST/SE set to a maximum of 5,000.
- EmblemHealth will not support a single transmission containing different transactions, such as an 837 (claim) and a 276 (claims status request).
- A Trading Partner may submit more than one (1) transmission per day.
- EmblemHealth will not support the electronic submission of adjustments to previously processed claims.

COORDINATION OF BENEFITS

Effective May 22, 2015, EmblemHealth now accepts electronically submitted commercial coordination of benefit (COB) claims. COB is a way of coordinating benefits when a member is covered by more than one health care plan to ensure that members receive the benefits to which they are entitled and that claims are paid correctly by the health plans.

**EmblemHealth Data Elements
For Processing v5010A2 837D Transactions**

Loop ID	Reference	Name	Codes	Length	Comments
	ISA	Interchange Control Header			
	ISA01	Authorization Information Qualifier	00		
	ISA03	Security Information Qualifier	00		
	ISA05	Interchange ID Qualifier	ZZ		
	ISA06	Interchange Sender ID			Direct Submitters use nine (9) digit Tax ID. Right pad with spaces to fifteen (15) characters.
	ISA06	Interchange Sender ID			Clearinghouses use Submitter ID. Right pad with spaces to fifteen (15) characters.
	ISA07	Interchange ID Qualifier	ZZ		
	ISA08	Interchange Receiver ID			Direct Submitters or Clearinghouses use 135511997. Right pad with spaces to fifteen (15) characters.
	ISA11	Repetition Separator	^		
	ISA13	Interchange Control Number			This Unique Number must be identical to the Interchange Control Number in IEA02. Right justify and left pad with zeros to nine (9) characters. Each submitter must start with a value of '1' and increase by one (1) each time a file is sent.
	GS	Functional Group Header			
	GS02	Application Sender Code			Use the same value entered in ISA06.
	GS03	Application Receiver Code			Use the same value entered in ISA08.
	GS06	Group Control Number			This Unique Number must be identical to the Group Control Number in GE02. Each submitter must start with a value of '1' and increase by one (1) each time a file is sent.
	ST	Transaction Set Header			
	ST02	Transaction Set Control Number			This Unique Number must be identical to the Transaction Set Control Number in SE02. Left pad with zeros – minimum of four (4), maximum of nine (9) characters. Each submitter must start with a value of '0001' and increase by one (1) each time a file is sent.
	BHT	Beginning of Hierarchical Transaction			
	BHT02	Transaction Set Purpose Code	00		
	BHT03	Reference Identification			Unique value for every file submitted. If submitting multiple ST-SE segments in a single file or submitting multiple files on the same day, the value in BHT03 must be unique.
	BHT06	Transaction Type Code	CH		

Loop ID	Reference	Name	Codes	Length	Comments
1000A	NM1	Submitter Name			
1000A	NM109	Identification Code			Use the same value entered in GS02.
1000B	NM1	Receiver Name			
1000B	NM103	Last Name or Organization Name			EMBLEMHEALTH
1000B	NM109	Identification Code			135511997
2000A	PRV	Billing Provider Specialty Information			
2000A	PRV01	Provider Code	BI		
2000A	PRV02	Reference Identification Qualifier	PXC		
2000A	PRV03	Reference Identification			Provider Taxonomy Code. If not known, use 122300000X
2010AA	NM1	Billing Provider Name			
2010AA	NM108	Identification Code Qualifier	XX		
2010AA	REF	Billing Provider Tax Identification			
2010AA	REF01	Reference Identification Qualifier	EI or SY		
2010AA	REF02	Reference Identification		9	Enter either nine (9) digit Tax ID or nine (9) digit Social Security Number.
2010BA	NM1	Subscriber Name			
2010BA	NM108	Identification Code Qualifier	MI		
2010BA	NM109	Identification Code			EMBLEMHEALTH assigned Member ID
2010BB	NM1	Payer Name			
2010BB	NM103	Name Last or Organization Name			EMBLEMHEALTH
2010BB	NM108	Identification Code Qualifier	PI		
2010BB	NM109	Identification Code			135511997
2300	PWK	Claim Supplemental Information			
2300	PWK06	Identification Code			Enter the fifteen (15) character NEA attachment number. First three (3) characters must contain 'NEA'
2300	REF	Claim Identification Number for Clearing Houses and Other Transmission Intermediaries			
2300	REF02	Reference Identification			Clearinghouses enter fifteen (15) digit claim identifier. Control number is generated by Clearinghouse and is used to uniquely identify a claim.
2310B	NM1	Rendering Provider Name			
2310B	NM108	Identification Code Qualifier	XX		Required when the Rendering Provider is different than the Billing Provider.
2310B	PRV	Rendering Provider Specialty Information			
2310B	PRV01	Provider Code	PE		
2310B	PRV02	Reference Identification Qualifier	PXC		
2310B	PRV03	Reference Identification			Provider Taxonomy Code. If not known, use 122300000X.

Loop ID	Reference	Name	Codes	Length	Comments
2310C	NM1	Service Facility Location Name			Required when the address of the service is different than the address of the Billing Provider.
2301C	N3	Service Facility Location Address			
2310C	N4	Service Facility Location City, State, ZIP Code			
2400	SV3	Dental Service			
2400	SV301-2	Product/ Service ID		5	ADA Codes
2400	SV301-3	Procedure Modifier			Procedure Modifiers may be submitted, but EmblemHealth will not use for processing.
2400	SV304-1	Oral Cavity Designation Code			Only SV304-1 will be used. Additional oral cavity designation codes may be submitted, but EmblemHealth will not use for processing.
2400	TOO	Tooth Information			EmblemHealth can only support one (1) iteration of the TOO segment
2400	TOO03-1	Tooth Surface Code			EmblemHealth can support up to five (5) surface codes.
	SE	Transaction Set Trailer			
	SE02	Transaction Set Control Number			This Unique Number must be identical to the Transaction Set Control Number in ST02. Left pad with zeros — minimum of four (4), maximum of nine (9) characters. Each submitter must start with a value of '0001' and increase by one (1) each time a file is sent.
	GE	Functional Group Trailer			
	GE02	Group Control Number			This Unique Number must be identical to the Group Control Number in GS06. Each submitter must start with a value of '1' and increase by one (1) each time a file is sent.
	IEA	Interchange Control Trailer			
	IEA02	Interchange Control Number			This Unique Number must be identical to the Interchange Control Number in ISA13. Right justify and left pad with zeros to nine (9) characters. Each submitter must start with a value of '1' and increase by one (1) each time a file is sent.

EmblemHealth Contact Information

Please direct questions or any correspondence to:

EmblemHealth EDI Operations Call Center at 212-615-4362.